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PTO/SB/05 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032

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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

Attorney Docket No. 25611-000074/US

First Inventor Eun-Soo LEE

Title TRAY TRANSFER UNIT AND AUTOMATIC TEST HANDLER

Express Mail Label No.

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification (Total Pages )  
(preferred arrangement set forth below)
  - Descriptive title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) (Total Sheets )
5. Oath or Declaration (Total Pages )
  - a. ☒ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 CFR 1.63 (d))  
(for a continuation/divisional with Box 18 completed)
  - i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

## ADDRESS TO:

Commissioner for Patents  
Box Patent Application  
P.O. Box 1450  
Alexandria, VA 22313-1450

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a. ☐ Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☐ paper
  - c. ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATIONS PARTS

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☐ 37 C.F.R. §3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☒ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. ☒ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☐ Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other: \_\_\_\_\_

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No: \_\_\_\_\_ / \_\_\_\_\_

Prior application information: Examiner \_\_\_\_\_

Group / Art Unit: \_\_\_\_\_

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 17. CORRESPONDENCE ADDRESS

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35,094

Signature

Date

12/17/2003

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121703 18351 U.S. PTO

| FEE TRANSMITTAL<br>for FY 2003              |  | Complete if Known  |                     |                 |
|---|--|--------------------|---------------------|-----------------|
|   |  | Application Number | Unknown             |                 |
| Patent fees are subject to annual revision. |  | Filing Date        | 12/17/2003          |                 |
|   |  | Inventor(s)        | Eun-Soo LEE         |                 |
|   |  | Examiner Name      | Unknown             |                 |
|   |  | Group / Art Unit   | Unknown             |                 |
| TOTAL AMOUNT OF PAYMENT (\$)                |  | 810                | Attorney Docket No. | 25611-000074/US |

  

| METHOD OF PAYMENT (check one)  |                                  | FEE CALCULATION (continued)       |                       |  |                       |   |          |
|--|----------------------------------|-----------------------------------|-----------------------|--|-----------------------|---|----------|
| 1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:             |                                  | 3. ADDITIONAL FEES                |                       |  |                       |   |          |
| Deposit Account Number   | 08-0750                          | Fee Code                          | Large Entity Fee (\$) | Fee Code   | Small Entity Fee (\$) | Fee Description   | Fee Paid |
| Deposit Account Name   | Harness, Dickey & Pierce, P.L.C. | 1051                              | 130                   | 2051   | 65                    | Surcharge - late filing fee or oath                     |          |
| <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17  |                                  | 1052                              | 50                    | 2052   | 25                    | Surcharge - late provisional filing fee or cover sheet. |          |
| <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27   |                                  | 1053                              | 1053                  | 1053   | 130                   | Non-English specification                               |          |
| 2. <input checked="" type="checkbox"/> Payment Enclosed:   |                                  | 1812                              | 2,520                 | 1812   | 2,520                 | For filing a request for reexamination                  |          |
| <input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other |                                  | 1804                              | 920*                  | 1804   | 920*                  | Requesting publication of SIR prior to Examiner action  |          |
| 1. BASIC FILING FEE  |                                  | 1805                              | 1,840*                | 1805   | 1,840*                | Requesting publication of SIR after Examiner action     |          |
| Large Entity Fee Code  | Entity Fee (\$)                  | Small Entity Fee Code             | Entity Fee (\$)       | Fee Description  |                       | Fee Paid  |          |
| 1001   | 770                              | 2001                              | 385                   | Utility filing fee   |                       | 770   |          |
| 1002   | 340                              | 2002                              | 170                   | Design filing fee  |                       |   |          |
| 1003   | 530                              | 2003                              | 265                   | Plant filing fee   |                       |   |          |
| 1004   | 770                              | 2004                              | 385                   | Reissue filing fee   |                       |   |          |
| 1005   | 160                              | 2005                              | 80                    | Provisional filing fee                                     |                       |   |          |
| SUBTOTAL (1)   |                                  |                                   |                       | (\$) 770   |                       |   |          |
| 2. EXTRA CLAIM FEES  |                                  |                                   |                       |  |                       |   |          |
| Total Claims   | 16                               | -20 **                            | = 0                   | X  | Fee from below        | = 0   |          |
| Independent Claims   | 2                                | -3 **                             | = 0                   | X  |                       | = 0   |          |
| Multiple Dependent   |                                  |                                   |                       | X  |                       | = 0   |          |
| Large Entity Fee Code  | Entity Fee (\$)                  | Small Entity Fee Code             | Entity Fee (\$)       | Fee Description  |                       |   |          |
| 1202   | 18                               | 2202                              | 9                     | Claims in excess of 20                                     |                       |   |          |
| 1201   | 86                               | 2201                              | 43                    | Independent claims in excess of 3                          |                       |   |          |
| 1203   | 290                              | 2203                              | 145                   | Multiple dependent claim, if not paid                      |                       |   |          |
| 1204   | 86                               | 2204                              | 43                    | ** Reissue independent claims over original patent         |                       |   |          |
| 1205   | 18                               | 2205                              | 9                     | ** Reissue claims in excess of 20 and over original patent |                       |   |          |
| SUBTOTAL (2)   |                                  |                                   |                       | (\$) 0   |                       |   |          |
| **or number previously paid, if greater; For Reissues, see above   |                                  |                                   |                       |  |                       |   |          |
|  |                                  | Other fee (specify) _____         |                       |  |                       |   |          |
|  |                                  | *Reduced by Basic Filing Fee Paid |                       |  |                       |   |          |
|  |                                  | SUBTOTAL (3) (\$ 40               |                       |  |                       |   |          |

| SUBMITTED BY      |                    | Complete (if applicable) |        |           |              |
|-------------------|--------------------|--------------------------|--------|-----------|--------------|
| Name (Print/Type) | John A. Castellano | Registration No.         | 35,094 | Telephone | 703-668-8000 |
| Signature         |                    | Attorney/Agent           |        | Date      | 12/17/2003   |

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